



شركة قطر للإيداع المركزي للأوراق المالية
QATAR CENTRAL SECURITIES DEPOSITORY

Account opening requirements at QCSD, for Ultimate Beneficial Owners (UBO)

1. **First Scenario:** If no person is mentioned in the UBO Form, look for effective control in accordance to article 1.3.5.1 para C (QFMA AML Rules)
 - (A) **For Fund/legal person that are created as Company** (Or by company), the Custodian shall provide QCSD with at least one director (In prospectus/Supporting Document) of the fund if no person is mentioned in the UBO form. If the director is a corporate, identify at least one director (natural person) of the corporate
 - (B) **For Fund that are created by Trust:** the Custodian shall provide QCSD with settlor (Name of the Trust) and the name of the Trustees if no person is mentioned in the UBO form. If the Trustee is a corporate, identify at least one director (natural person) of the corporate.
 - (C) **For Fund that are created by partnership (LLP):** the Custodian shall provide QCSD with the name of the General Partner and at least one name of the directors (Natural Person) of the General Partner if no person is mentioned in the UBO form. If the General Partner is a corporate, identify at least one director (natural person) of the corporate.
2. **Second Scenario:** If person is mentioned in the UBO Form.

If the UBO is an individual, only details of the natural person are required. If the individual has World-check hit, then additional details (passport copy) needs to be provided by the custodian.

If one of the holders of 25% or more (In UBO Form) is an entity, then

- (A) If the Entity is listed: CR and the proof of listing of the entity are required (No further shareholder details are required)
- (B) If the Entity is not listed: CR and ownership details (In UBO Form) of the entity (If multiple layers, company structure chart)
- (C) If the Entity is Educational/Charitable/Government etc.: Identify the owners information with CR (Or other statutory document)

Finally, the custodian shall assume all responsibility for all documents submitted, and it assumes all responsibility to provide all information and documents when asked for. The custodian also acknowledges that it complies with on-going obligations under QFMA AML/CFT Rules.



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